FACULTY PERFORMANCE CRITERIA FOR
TENURE, PROMOTION, AND POST-TENURE REVIEW

Guidelines for departmental statements required by Section 7.12 and 7a of Regents Policy on Faculty Tenure (June 7, 2007)

I. Introductory Statement

This document describes:

A. Indices and standards for recommendation for awarding indefinite tenure and for promotion to associate professor parallel to those for consideration of tenure according to Regents Policy on Faculty Tenure (University of Minnesota, 2007; hereafter cited as Faculty Tenure), Section 7.11. General Criteria and the Procedures for Evaluating Tenure and/or Promotion: Tenure Track and Tenured Faculty.

B. Indices and standards for recommendation for promotion requirements according to Regents Policy on Faculty Tenure Section 9.2 Criteria for Promotion to Professor and the Procedures for Evaluating Tenure and/or Promotion: Tenure Track and Tenured Faculty.

C. Indices and standards for post-tenure review according to Section 7a.1 and 7a.2 of Faculty Tenure.

D. Procedures for annual review and post-tenure review of faculty.

II. Departmental Mission Statement

The Department of Design, Housing and Apparel is a multidisciplinary department that is centered on the interactions between people and the components of apparel, housing, interiors and visual communication. We are committed to developing a richer understanding of these interactions to contribute toward socioeconomic well-being and empowerment, to enhance the well-being of communities through effecting positive change, and to improve environmental conditions. Our systematic inquiry advances knowledge in these areas and provides a basis for undergraduate and graduate learning, discovery and engagement.

The mission of the Department of Design, Housing, and Apparel includes teaching, scholarly inquiry, and service as defined in Section 7.11 of Faculty Tenure. To be awarded indefinite tenure a faculty member will be expected to have demonstrated effectiveness in teaching, effectiveness in scholarship including creative production and/or research, distinction in
either teaching or scholarship, and contributions to service. Because there is diversity within the department in the distribution of responsibilities among these three areas, the emphasis on each endeavor may vary by position description. However, each faculty member must demonstrate contributions in all three areas.

The Department of Design, Housing, and Apparel (DHA), is one of the three units of the University of Minnesota's College of Design. The College of Design is composed of the School of Architecture; the Department of Design, Housing, and Apparel; and the Department of Landscape Architecture.

Educational activities of the Department facilitate the completion of undergraduate and graduate degrees. Creative problem solving is fostered to prepare students to be skilled professionals and resourceful decision makers in business, industry, education, government, and the arts. The purpose of DHA research and creative production is to identify and deal with problems and opportunities in design, housing, apparel and textiles. The results are delivered through credit and outreach instruction and services to the wider public. DHA outreach serves residents throughout Minnesota and the nation through publications and creative production. We value approaches to teaching and scholarship that represent diversity, utilize technological advances, and that are socially responsible.

As defined by the Task Force on Faculty Culture report dated May 10, 2006, the culture of public engagement and citizenship “includes: a) engagement with various publics whom we invite to participate in research, b) working in public policy arenas through teaching, analysis, and advocacy, c) consistent communication through public lectures, articles in the popular press, and media appearances, and d) becoming the students of communities that are willing to share their knowledge with us.”

The faculty culture of the Department of Design, Housing, and Apparel includes the expectation of reciprocal, mutually beneficial, collaborative mentorship relationships in which all parties are actively engaged in mentoring activities. Interdisciplinary efforts are encouraged and rewarded, including collaborations within the unit, the college, the University of Minnesota, other universities, and communities outside academia. Discipline-based public engagement, through teaching, scholarship, and service is valued. These activities combine to enable the department to reach and maintain “…the level of excellence that is competitive on a national and international level, through high quality teaching, scholarship, and learning.” (Provost Sullivan Memo dated June 15, 2006)

III. Criteria for Tenure

The section that specifies the criteria in the Regents Policy on Faculty Tenure is as follows:

“Section 7.11. General Criteria. What the University of Minnesota seeks above all in its faculty members is intellectual distinction and academic integrity. The basis for awarding indefinite tenure to the candidates possessing these qualities is the determination that each has established and is likely to continue to develop a distinguished record of academic achievement that is the foundation for a national or international reputation or both [3] This determination is reached through a qualitative evaluation of the candidate’s record of scholarly research or other creative work, teaching, and service [4]. The relative importance of these criteria may vary in different academic units, but each of the criteria must be
considered in every decision [5]. Demonstrated scholarly or other creative achievement and teaching effectiveness must be given primary emphasis; service alone cannot qualify the candidate for tenure. Interdisciplinary work, public engagement, international activities and initiatives, attention to questions of diversity, technology transfer, and other special kinds of professional activity by the candidate should be considered when applicable. The awarding of indefinite tenure presupposes that the candidate’s record shows strong promise of his or her achieving promotion to professor.

Board of Regents Policy – *Faculty Tenure*, Footnotes to Section 7.11:

[3] "Academic achievement" includes teaching as well as scholarly research and other creative work. The definition and relative weight of the factors may vary with the mission of the individual campus.

[4] The persons responsible and the process for making this determination are described in subsections 7.3 through 7.6.

"Scholarly research" must include significant publications and, as appropriate, the development and dissemination by other means of new knowledge, technology, or scientific procedures resulting in innovative products, practices, and ideas of significance and value to society.

"Other creative work" refers to all forms of creative production across a wide range of disciplines, including, but not limited to, visual and performing arts, design, architecture of structures and environments, writing, media, and other modes of expression.

"Teaching" is not limited to classroom instruction. It includes extension and outreach education, and other forms of communicating knowledge to both registered University students and persons in the extended community, as well as supervising, mentoring, and advising students.

"Service" may be professional or institutional. Professional service, based on one's academic expertise, is that provided to the profession, to the University, or to the local, state, national, or international community. Institutional service may be administrative, committee, and related contributions to one's department or college, or the University. All faculty members are expected to engage in service activities, but only modest institutional service should be expected of probationary faculty.

[5] Indefinite tenure may be granted at any time the candidate has satisfied the requirements. A probationary appointment must be terminated when the appointee fails to satisfy the criteria in the last year of probationary service and may be terminated earlier if the appointee is not making satisfactory progress within that period toward meeting the criteria.

Furthermore, in this department different weighting may vary according to position description. The candidate must demonstrate outreach/public engagement in one of the categories of teaching, scholarship, and service.
A. Teaching

Teaching includes and extends beyond classroom instruction. It includes the communication of knowledge (to both registered University students and persons in the extramural community) as well as supervising, mentoring, or advising graduate or undergraduate students, and others engaged in the teaching mission. (Faculty Tenure, Section 7.11, General Criteria.)

Determination of effectiveness in credit and outreach teaching should include:

1. Review of graduate, undergraduate, and outreach courses, seminars, workshops, and short courses developed, taught, or directed by the candidate.

2. Evaluation by peers through classroom visitations and/or seminar presentations; review of pertinent classroom materials including text, material covered, assignments, examinations, and/or review of student work.

3. Participation in advising undergraduate and graduate students.

4. Evaluations by students, participants, and/or clientele.

5. Participation in program activities and curriculum development.

B. Scholarship Including Creative Production and Research

Scholarship in this context means the dissemination of creative production and research. “Interdisciplinary work, public engagement, international activities and initiatives, attention to questions of diversity, technology transfer, and other special kinds of professional activity by the candidate should be considered when applicable.” (Faculty Tenure Section 7.11, General Criteria.)

DHA and UMN recognize the scholarship of creative production as equivalent to research. Many of the same evaluative criteria prevail: review, size and prestige of venue, and competition. Samples of scholarship must be included in the candidate’s promotion and tenure dossier. Determination of effectiveness in scholarship is based on a review of the candidate’s record comprised of (the terms refereed and juried are used synonymously to represent peer-reviewed work):

1. Publications (i.e., books, book chapters, journal articles, reviews, reports, catalogs, or abstracts); exhibitions (i.e., works, curated, or designed) and/or, media productions. Those products that have been blind peer reviewed and invited are generally of higher prestige than refereed and juried, or non-refereed. Evidence must be presented that the work is significant and of high quality.

2. Presentations in invited and/or juried conferences, symposia, exhibits, and/or lectures.

3. A coherent strategy for obtaining funding and/or resources; funding support obtained and the sources.
4. Independent and/or collaborative character of accomplishments. In collaborative work, the contribution of the individual under review should be specifically described. (Appendix A defines DHA’s authorship policy.)

C. Service

All faculty members, tenured or not, are expected to fulfill the citizenship obligations of the academic community, which include service to the department, college and University. All faculty members are expected to engage in service activities, but only modest institutional service should be expected of probationary faculty. (Faculty Tenure 7.11, General Criteria.). Demonstrated scholarly or other creative achievement and teaching effectiveness must be given primary emphasis; service alone cannot qualify the candidate for tenure. (Faculty Tenure 7.11, General Criteria.). Service contributions include the following:

1. Institutional Service -- Participation in administrative, committee, and related service to one’s department, college, and/or the University.

2. Discipline-Related Service
   a. Service to the Profession -- Discipline-related and/or professional practice (i.e., consulting) when it is related to the mission of the academic unit.
   b. Outreach Service -- Engagement with the local, state, national, or international community when it is within the faculty member’s academic expertise and the mission of the academic unit.

IV. Promotion

All University tenured, tenure-track, and contract faculty considered for promotion are evaluated based upon standards cited in Regents Policy on Faculty Tenure (June 7, 2007). The Senior Vice President for Academic Affairs and Provost issues specific procedures for faculty promotion and tenure review annually. Specific standards will be described for promotion at the time of initial appointment. (See Section III. Criteria for Tenure for teaching, scholarship and service)

A. To Assistant Professor:

Where initial hiring is done at the instructor level, promotion to Assistant Professor is based on evidence of:

1. Completion of a terminal degree.
2. Effectiveness in teaching.
3. Evidence of scholarship.
4. Evidence of service.
B. To Associate Professor:

Where initial hiring or current appointment is at the Assistant Professor level, promotion to Associate Professor with tenure is based on evidence of:

1. Effectiveness in teaching.
2. Effectiveness in scholarship.
3. Distinction in either teaching or scholarship.
4. Evidence of service.
5. Critical evaluations from authorities in the candidate’s field assessing the candidate’s record based on the above criteria.

C. To Professor:

Where initial hiring or current appointment is at the Associate Professor level, promotion to Professor (in addition to criteria used for Assistant and Associate Professor appointments; see Regents Policy on Faculty Tenure Section 9.2) is based on evidence of:

1. Distinction in both teaching and scholarship above that required for promotion to the rank of associate professor.
2. Evidence of service.
3. A national and/or an international reputation in the candidate’s discipline or field as evidenced, for instance, by invitations to symposia, election to offices within professional organizations, and awards and honors.
4. Critical evaluations from authorities in the candidate's field assessing the candidate’s record based on the above criteria.

V. Post-Tenure Review of Faculty Performance

A. The goals and expectations for tenured faculty members will parallel those used in the granting of tenure, but will take into account the different stages of professional development of faculty, providing for flexibility.

B. The indices of acceptable performance are included in Appendix B.

VI. Procedures for Annual Review and Post-Tenure Review of Faculty

A. The Department complies with the Procedures for Evaluating Tenure and/or Promotion: Tenure Track and Tenured Faculty as provided by Sections 16.3, 7.4, and 7.61 of Faculty Tenure.
B. Probationary service may be extended for one year for a faculty member who is a new parent or caregiver, or for personal medical reasons in accordance with Section 5.5 of *Faculty Tenure*.

C. The Department procedures for annual review of tenured faculty for post-tenure review are in accordance with Section 7a.2. of *Faculty Tenure* and are described in Appendix C.

**Appendices:**

Appendix A. Policy for multiple authorship and guidelines for evaluating scholarship.
Appendix B. Indices of performance for post-tenure review.
Appendix C. Post-tenure review procedures policy.

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**Adoption and Amendments by the Department of Design, Housing, and Apparel**

- **APPROVED MAY 5, 1986**
- **AMENDED SEPTEMBER 17, 1987**
- **AMENDED OCTOBER 2, 1987**
- **AMENDED FALL 1996 (approved Appendix A)**
- **AMENDED JUNE 4, 1998 (approved Appendices B and C)**
- **AMENDED JUNE 4, 1999 (revised to incorporate appropriate language in documents)**
- **AMENDED FEBRUARY 18, 2005 (approved new departmental mission statement)**
- **AMENDED JANUARY 29, 2007 (approved changes to language of Sections II, III, and IV, and indices of performance in Appendix B; minor language changes to sections and appendices for consistency)**
- **AMENDED OCTOBER 5, 2007 (approved final changes and edits based on review of the Office of the Senior Vice President and Provost for Academic Affairs, final approval January 15, 2008)**
APPENDIX A: POLICY FOR MULTIPLE AUTHORSHIP AND GUIDELINES FOR EVALUATING SCHOLARSHIP

The Department of Design, Housing and Apparel recognizes that distinction in scholarship is based on evidence of an individual’s work in exhibitions, papers, abstracts, refereeing, jurying, among other activities. To make clear the significance and contribution of an individual’s work we adopt the following policies concerning production credit on work produced. [Adapted from the Publication Manual of the American Psychological Association 4th Edition (1994). Washington, DC: American Psychological Association.]

Authorship encompasses not only those who do the writing/production but also those who have made substantial contributions to the work. Substantial contributions may include the following: structuring the research design, formulating the hypotheses, organizing and conducting data analyses, interpreting results, and contributing to various phases of design project development. Lesser contributions should be acknowledged in a note and may include the following: designing a tool for conducting the research, collecting/entering data, modifying a computer program, recruiting subjects, routine observations, and supportive design work.

The name of the principal contributor to the project shall be listed first with subsequent names listed in the order of decreasing significance. If authors played an equal role in the research and production/publication, they should note this in the author’s note. A student is listed as principal contributor to the project on any article that is substantially based on the student’s dissertation, thesis, or project (Plan B). Order of authorship may be reassessed under certain conditions including: student’s willingness to prepare work for publication/formal presentation, need for additional data analyses beyond the scope of the theses or dissertation, and the extended development of a design concept or project beyond the scope of the original project or paper.

Citations of scholarship should follow standard APA format, with title of the project or design work listed, media used, dates and location of exhibition, and awards or honors if received. Design projects that involve a collaborative team approach will include the names of all major contributors to the project, beginning with the major contributor and other contributors following, listed in order of decreasing significance. Substantial contributions may include the following: project concept and development, research, major production roles, and dissemination of the project. Lesser contributions would be acknowledged in a note and may include the following: minor research and project involvement, in-process review and critique, and small production tasks. A student is listed as principal contributor to Plan B projects. Order of contribution may be reassessed under certain conditions including: student’s willingness to prepare work for display or presentation, or the need for additional development of a design concept beyond the scope of the original project.
APPENDIX B: INDICES OF PERFORMANCE FOR POST-TENURE REVIEW

Minimum Faculty Standards for Annual Performance Review

Faculty standards require that at a minimum all faculty members will meet the expectations in all three areas of teaching, scholarship, and service. Post-tenure review will be based on a 3-year period. Performance reviews will be based on:
1. DHA standards and expectations
2. Individual’s position description
3. Current vitae
4. Annual goals statement
5. Annual Faculty Activity Report

A. Teaching Expectations

Teaching effectiveness includes and extends beyond classroom instruction. It includes the communication of knowledge (to both registered University students and persons in the extramural community) as well as supervising, mentoring, or advising graduate or undergraduate students and others engaged in the teaching mission whether individually or in groups. It is expected that contribution toward teaching will vary between individuals and type of faculty appointment. In these efforts, collaboration and interdisciplinarity is valued but not requisite.

Determination of effectiveness in credit and outreach teaching will include a holistic review of the individual’s contributions to teaching as indicated by the following:

1. Syllabi for all courses offered during the period under review.
2. University of Minnesota Student Evaluation of Teaching. Scores are expected to average 4 (satisfactory) or above for question 1 “How would you rate the instructor’s overall teaching ability?” across all courses taught during the period under review. Other relevant scores on other questions pertaining to the instructor’s teaching effectiveness will be considered.
3. Peer evaluation of teaching/training (one course or outreach program per year)

Additional materials could include:
4. Current teaching materials/support materials such as assignments, exams, lecture outlines, textbooks, electronic presentations, and so forth.
5. Outcomes including projects, papers, exhibits, and so forth.
6. Effective teaching strategies such as active learning, service learning or online education.
7. Curriculum/Program development activities such as course development, course revisions, participation in program activities, and program development.
8. Advise graduate students and serve on graduate committees
9. Advise undergraduates concerning activities such as Undergraduate Research Opportunity Program, study abroad opportunities, honors capstone projects, student organizations, and internships.

B. Scholarship including Creative Production and Research

Scholarship in this context means the dissemination of creative production and/or research. “Interdisciplinary work, public engagement, international activities and initiatives, attention to
questions of diversity, technology transfer, and other special kinds of professional activity by the candidate should be considered when applicable.” (Faculty Tenure Section 7.11. General Criteria).

A holistic review of the individual’s contributions to scholarship will be conducted. A qualitative assessment of the excellence and significance of the work will be considered in conjunction with quantity expectations. Determination of effectiveness in scholarship will include review of contributions to scholarship noted below. Consideration will be given to submission and acceptance of the work(s) along with whether the work has been blind peer reviewed, refereed, juried, invited, or non-refereed. Based on the teaching load of the faculty member, scholarship contributions average six to eight works of dissemination each year.

1. Publications
   a. *Journal articles
   b. *Book chapters
   c. *Books
   d. Abstracts
   e. Reviews
   f. Reports
   g. Catalogs
2. Exhibitions
   a. *Works
   b. Curated
   c. Designed
3. Presentations:
   a. Papers
   b. Posters
   c. Educational displays
4. *Grant applications following a coherent strategy for obtaining funding and resources.
5. Media Production

(*Preference is given to these types of contributions to scholarship).

C. Expectations For Service

All faculty members, tenured or not, are expected to fulfill citizenship obligations of the academic community, which includes institutional service as well as discipline-based and outreach service. Members of committees are expected to attend scheduled meetings, facilitate the work of the committee, share in the workload, and participate in decision making. Members are typically elected or appointed to committees to represent departmental interests, not solely one’s own subject matter area and so should bring that broad perspective to their committee role.

1. Institutional Service. All faculty, regardless of appointment, are expected to serve on one but no more than five institutional committees a year. Institutional service may include, but is not limited to, the following:
   a. University committees
   b. College committees
   c. Departmental committees
2. Discipline-Based Service. All faculty, regardless of appointment, are expected to provide service to their profession and the public. Discipline-based service may include, but is not limited to, the following:
   a. Peer review of: scholarship, promotion and tenure dossiers, grant applications, award nominations
   b. Leadership roles in professional organizations
   c. Editing professional publications
   d. Creative practice

3. Outreach Service
   a. Board membership
   b. Legislative testimony
   c. Community group presentations
   d. Jury entries for community activities or events
   e. Consulting with community members
APPENDIX C: POST-TENURE REVIEW PROCEDURES POLICY

An annual performance review of all tenured faculty will be conducted by the Department Head as part of the Annual Faculty Review process. The post-tenure performance review is intended to identify outlier cases in which a tenured faculty member is clearly functioning substantially below the standards and expectations of the department as outlined in the DHA Indices of Performance for Post-Tenure Review, the individual’s position description, and annual goals statement. After completing all faculty reviews, the Department Head will notify the individual and the Post-Tenure Review Committee when any tenured faculty member’s performance was identified by the Department Head as substantially below the standards. The Post-Tenure Review Committee will be required to evaluate all cases identified by the Department Head as being substantially below departmental expectations.

Composition of the DHA Post-Tenure Review Committee

The Post-Tenure Review Committee will consist of a three (3) member panel of elected tenured faculty members (any rank) in the department. The election will be arranged by DHA administrative staff. The members of the committee will elect the chairperson. Members of the committee will serve a two-year term. In the first year of the committee, two members will be elected for two-year terms and one for a one-year term in order to allow for staggered membership. If a member of the committee has been identified by the Department Head as performing substantially below expectations, the review of that case will be conducted by the other two members of the committee.

Post-Tenure Review Committee Procedures

Within three (3) weeks of notification by Department Head, the committee will conduct a review of the records of all faculty members identified by the Department Head as having substantially below expected performance and report back to the Head in writing. The committee will not consider the case for annual compensation decisions.

The review of performance will be based on materials submitted by the faculty member for the annual faculty reviews (vita and activity reports). The faculty member is allowed to include additional documents. The materials will be evaluated according to the DHA Indices of Performance. The DHA Post-Tenure Review Committee will report to the Department Head in writing whether or not they agree that the faculty member’s performance was substantially below departmental expectations.

If the committee disagrees with the Department Head’s determination that the faculty member’s performance was substantially below departmental expectations, they will inform the Department Head of that decision in writing. The Department Head and the Chair of the Post-Tenure Review Committee will jointly sign a letter informing the faculty member of the committee’s decision. The case does not advance to the Dean for special review by the College.

However, if the committee concurs with the Department Head that the faculty member’s performance is substantially below the goals and expectations of the unit, a ‘notification of substandard performance’ letter signed by both the Department Head and Chair of the Post-Tenure Review Committee will be sent to the faculty member stating the finding, specifying deficiencies, and setting a time period of not less than one year during which the faculty member
should address the identified problems. The objective of the substandard performance review notification is to assist the faculty member to improve his or her productivity. The Department Head and the elected committee members should work with the faculty member to improve performance during that time.

At the end of the stated time period, the Post-Tenure Review Committee will review the case based on materials submitted by the faculty member for the annual faculty review including vita and activity report. At this time, if the performance remains substantially below the goals and expectations of the unit, the Committee and the Department Head may jointly request the Dean to initiate a special peer review of that faculty member. To do so, a letter will be sent to the Dean and to the faculty member, setting out their findings and attaching a copy of the documents they have reviewed.